

Docket No. 701039-052585 US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Satchi-Fainaro et al.

Application No.: 10/511,009

Group No.: 1624

Filed: 04/10/2003

Examiner: B. Bala

For: TNP-470 SPECIES, POLYMER CONJUGATES AND USE THEREOF

I hereby certify that this correspondence:

1. Transmittal Form (1 pg.);
2. Supplemental Information Disclosure Statement (2 pp.);
3. Form 1449 (1 pg.);
4. References B4 and C60;
5. Copy – European Search Report (5 pp.);
6. Certificate of Mailing (1 pg.);
7. Return Receipt Postcard;

is on the date shown below being:

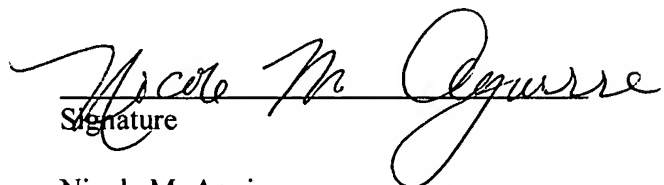
MAILING

FACSIMILE

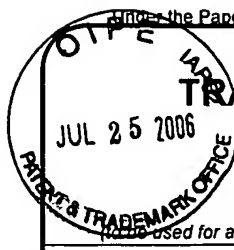
X deposited with the United States Postal Service sufficient postage as first class mail in an envelope addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

transmitted by facsimile to the Patent and Trademark Office.

Date: July 21, 2006

  
Signature

Nicole M. Aguirre  
(type or print name of person certifying)



# TRANSMITTAL FORM

(Not used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/511,009

Filing Date

March 24, 2005

First Named Inventor

Ronit Satchi-Fainaro

Art Unit

1624

Examiner Name

B. Bala

Attorney Docket Number

701039-052585

## ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/Incomplete Application



Reply to Missing Parts under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_



Landscape Table on CD



After Allowance Communication to TC



Appeal Communication to Board of Appeals and Interferences



Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify below):

Form 1449; References B4 and C60; Copy - European Search Report; Certificate of Mailing; and Return Receipt Postcard

Remarks

Customer No. 50828

Confirmation No. 6903

The Commissioner is authorized to charge fee deficiencies and credit overpayments associated with this submission to the NIXON PEABODY LLP Deposit Account No. 19-2380.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

NIXON PEABODY LLP

Signature

Printed name

David S. Resnick / Candace M. Summerford

Date

Reg. No.

34,235 / 58,109

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Nicole M. Aguirre

Typed or printed name

Nicole M. Aguirre

Date

7/21/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Docket No. 701039-052585 US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor application of: Satchi-Fainaro et al.

Application No.: 10/511,009

Group No.: 1624

Filed: 04/10/2003

Examiner: B. Bala

For: TNP-470 SPECIES, POLYMER CONJUGATES AND USE THEREOF

CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date 7/21/06

Nicole M. Aguirre

(type or print name of person mailing paper)

Nicole M. Aguirre  
Signature of person mailing paper

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

### REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

- (1) within three (3) months of the Filing Date or **before the mailing date of the First Office Action** on the merits; or
- X (2) within three months of the mailing date of the European Search Report; or
- (3) after the period defined in (1) but before the mailing date of a **Final Rejection** or **Notice of Allowance**, and the requisite Certification or fee under Rule 1.17(p), namely **\$180.00**, is included herein; or
- (4) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the payment of the **Issue Fee**, and the requisite Certification, petition, and petition fee are included herein.

It is respectfully requested that each of the documents shown on the attached form(s) PTO-1449 be made of record in this application. Copies of these documents (CHECK ONE):

- X are enclosed herewith;
- X a copy of the European Search Report is enclosed herewith;
- have been cited in the parent application, and are thus not being resubmitted herein.

Early examination and allowance of the present application are respectfully solicited.

### FEE AUTHORIZATION

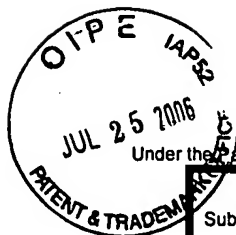
Should any fees associated with the submission be required, the Commissioner is authorized to charge the missing fee to our Deposit Account No. 19-2380. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,



David S. Resnick (Reg. 34,235)  
Candace M. Summerford (Reg. No. 58,109)  
NIXON PEABODY LLP  
100 Summer Street  
Boston, MA 02110  
(617) 345-6057 / 1104

Date: July 21, 2006



<b>Substitute for form 1449 PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>COMPLETE IF KNOWN</b>			
		Application Number	10/511,009		
		Filing Date	04/10/2003		
		First Named Inventor	Ronit Satchi-Fainaro		
		Art Unit	1624		
		Examiner Name	B. Bala		
Sheet	1	of	1	Attorney Docket Number	701039-052585-US

<u>U.S. PATENT DOCUMENTS</u>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			

<u>FOREIGN PATENT DOCUMENTS</u>							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)					
	B4	WO 03/086178 A		10/23/2003			

<u>NON PATENT LITERATURE DOCUMENTS</u>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	C60	Satchi, R. et al., <i>British J. of Cancer</i> , 85(7):1070-1076 (2001).	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.